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# Mutant analysis links the translocon and BiP to retrograde protein transport for ER degradation

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Proteins enter the secretory pathway through the endoplasmic reticulum<sup>1</sup>, which delivers properly folded proteins to their site of action<sup>2</sup> and contains a quality-control system to monitor and prevent abnormal proteins from being delivered<sup>3</sup>. Many of these proteins are degraded by the cytoplasmic proteasome<sup>4-8</sup>, which requires their retrograde transport to the cytoplasm<sup>5,6</sup>. Based on a co-immunoprecipitation of major histocompatibility complex (MHC) class I heavy-chain breakdown intermediates with the translocon subunit Sec61p (refs 9, 10), it was speculated that Sec61p may be involved in retrograde transport<sup>11</sup>. Here we present functional evidence from genetic studies that Sec61p mediates retrograde transport of a mutated lumenal yeast carboxypeptidase ycsY (CPY\*) in vivo. The endoplasmic reticulum lumenal chaperone BiP (Kar2p) and Sec63p, which are also subunits of the import machinery<sup>10,12</sup>, are involved in export of CPY\* to the cytosol. Thus our results demonstrate that retrograde transport of proteins is mediated by a functional translocon. We consider the export of endoplasmic reticulum-localized proteins to the cytosol by the translocon for proteasome degradation to be a general process in eukaryotic cell biology.

Proteins cross the membrane of the endoplasmic reticulum (ER) either co-translationally or post-translationally<sup>9,10</sup>. For both translocation routes, the Sec61 heterotrimeric complex consisting of yeast proteins Sec61p, Sbh1p and Sss1p (ref. 10) constitutes the membrane channel. The post-translational pathway depends on an additional tetrameric complex consisting of the ER transmembrane proteins Sec62p, Sec63p and Sec71p, together with the peripheral membrane protein Sec 72p (refs 10, 12). Sec62p, Sec71p and Sec72p

provide a cytosolic binding site for the newly synthesized precursor molecules, whereas Sec63p and the lumenal chaperone Kar2p (BiP) mediate the driving force for import<sup>10,12</sup>.

We analysed retrograde transport using yeast strains carrying mutant alleles of translocon components, and used mutated yeast







**Figure 3** Control experiments demonstrate that CPY\* stabilization in the *sec61-2* background is based on a defective export. **a**, CPY\* accumulating in *sec61-2* mutant cells is glycosylated, whereas the second antigenic species is unglycosylated, showing that it is pre-CPY\*. Western analysis of CPY\* and pre-CPY\* in the isogenic strains W303-1C (wild type), YRP086 (*sec61-2*), YRP087 (*sec62*) and YRP090 (*Δsss1*, pGALSSS1 on plasmid) after growth of cells at 32 °C. Deglycosylation was performed with endoglycosidase F (EF) after immuno-precipitation of CPY\* antigenic material. **b**, Although CPY\* is membrane protected from proteolysis, pre-CPY\* is bound to the cytosolic face of vesicles. Western analysis of CPY\*, pre-CPY\* and (for control) Kar2p, in the strains W303-1C (wild type), YRP086 (*sec61-2*) and YRP087 (*sec62*), after preparing spheroblast homo-

genates followed by a 20,000*g* centrifugation. (P, pellet fraction; S, supernatant; P (T), pellet treated with trypsin; P (T + T), pellet treated with trypsin and Triton X-100. **c**, Kar2p is imported without precursor accumulation in *sec61-2* mutant cells at the permissive temperature. Western analysis of Kar2p in the strains W303-1C (wild type), YRP086 (*sec61-2*), YRP087 (*sec62*) and YRP088 (*sec63-1*). **d**, The Ubc6–Ubc7 proteosome degradation system is functional in the *sec61-2* and *sec63-1* mutants. *β*-Galactosidase activity was tested after alkaline lysis of the strains W303-1C (wild type, triangles), YRP086 (*sec61-2*, diamonds) and YRP088 (*sec63-1*, squares). Cells expressing the plasmid-encoded fusion protein Deg1-β-galactosidase were analysed after incubation at the permissive temperature of 25°C.

carboxypeptidase vscY (CPY<sup>\*</sup>) as a substrate for ER degradation<sup>13</sup>. When following the fate of CPY<sup>\*</sup> in a strain harbouring the temperature-sensitive *sec61-2* allele<sup>14</sup> by pulse-chase analysis at 25 °C, a considerable stabilization of CPY<sup>\*</sup> compared to the wild type was visible (Fig. 1a). The half-life of CPY\* increased about threefold in the sec61-2 background (Fig. 1b), indicating a disturbed delivery of lumenal CPY\* to the cytosolic proteasome. In contrast, at the same temperature of 25 °C, a mutation in Sec62p led to an import defect, clearly visible by the accumulation of pre-CPY\* (Fig. 1a) and the appearance of an intensity maximum of lumenal CPY after 30 min chase time (Fig. 1b). A delayed import was also seen in a Sec71p deletion strain (Fig. 1d), resulting in an apparent stabilization of CPY<sup>\*</sup>. However, in the sec62 (Fig. 1c) and  $\Delta$ sec71 (Fig. 1e) mutants, the kinetics of CPY\* degradation was essentially identical to wild type. This was demonstrated by taking the second chase point (30 min), at which the precursor of CPY\* is completely imported into the ER, as the time point from which the half-life was calculated. Applying the same calculation to the sec61-2 mutant strain, the considerably reduced degradation rate of CPY\* compared with wild type was again apparent (Fig. 1c). As the function of Sec72p depends on the binding to Sec71p, the sec71 deletion strain is also devoid of Sec72p function. Thus Sec72p cannot be involved in CPY\* retrograde transport. Also, deletion of SBH1, a non-essential subunit of the Sec61 heterotrimeric complex<sup>15,16</sup>, did not show any changes in the steady-state level of CPY\* (data not shown). Furthermore, the amount of CPY\* was unaffected in a strain carrying a deletion in SSH1, a non-essential homologue of SEC61, which appears to be capable of mediating exclusively co-translational protein translocation<sup>16</sup>, as well as in a strain deleted in SBH2, which encodes a homologue of SBH1 (ref. 16, and data not shown).

Sec63p and the chaperone Kar2p regulate the dynamics of the Sec61p channel<sup>12</sup>. Interaction between both proteins occurs through a DnaJ box located within the lumenal tail of Sec63p (refs 17, 18), a common binding motif for members of the Hsp70 family<sup>19</sup>. Because the average diameter of the Sec61p channel is about 20 Å (ref. 20),



**Figure 4** Mutant Sec61p is a substrate of ER degradation. **a**, The Sec61-2p steadystate level is reduced at the permissive temperature of 25 °C. Western analysis of Sec61p in the strains W303-1C (wild-type), YRP086 (*sec61-2*), YRP087 (*sec62*) and YRP088 (*sec63-1*) at permissive (25 °C) and restrictive (38 °C) temperature. cells were grown at 25 °C and portions were shifted to the restrictive temperature. After 60 min, crude extracts of cells incubated at 25 °C or shifted to 38 °C were analysed. **b**, Der3, a gene product involved in CPY\* degradation, participates in the degradation of mutant Sec61p. Western analysis of Sec61p in the isogenic strains W303-1C (wild-type), YRP086 (*sec61-2*) and YRP105 (*Δder3 sec61-2*). Cells were analysed after a shift to the restrictive temperature of 38 °C for 45 min.

export of CPY<sup>\*</sup> in a globular state seems unlikely. Kar2p, bound to Sec63p, might unfold CPY\* before export. We thus tested the degradation rate of CPY\* in a sec63-1 background carrying a mutation in the DnaJ box which prevents binding of Kar2p<sup>21</sup> by pulse-chase analysis at the permissive temperature of 25 °C. Stabilization of CPY\* was observed in the sec63-1 mutant (Fig. 2a), but no CPY\* precursor accumulated. Compared to the wild type, the halflife increased about 1.5-fold, indicating that sec63p functions in the CPY\* export. We directly addressed the question of whether the presence of intact Kar2p is required for retrograde transport by examining the influence of the mutated kar2-113 allele<sup>22</sup> on the degradation rate of CPY\*. Although import of CPY\* into the ER was unaffected in kar2-113 mutant cells at 25 °C, a remarkable stabilization of lumenal CPY\* of about twofold was observed (Fig. 2b). This effect may be due to a defective regulatory function of the Kar2-113 protein on Sec61p, or a reduced unfolding capacity on CPY\*.

It was important to demonstrate that the molecular form of CPY\* seen in the sec61-2 mutant represents glycosylated, lumenal CPY\*, and that the additional molecular form seen in the sec62 mutant was cytosolic pre-CPY\* and not some already exported degradation intermediate. A CPY\* species, once imported into the ER lumen, is expected to be glycosylated and thus accessible to deglycosylation by endoglycosidase F, whereas non-imported pre-CPY\* should be devoid of carbohydrate. We induced the accumulation of CPY\* precursor molecules in the sec61-2 and the sec62 mutant by incubating cells at 32 °C. In sec63-1 and kar2-113 mutant cells, no pre-CPY\* was visible at this temperature (data not shown). The antigenic material of higher relative molecular mass  $(M_r)$  appearing in all strains is completely accessible to endoglycosidase F treatment (Fig. 3a). In contrast, the band of lower  $M_r$  seen in the sec61-2 and sec62 mutants does not undergo a shift upon endoglycosidase F treatment as expected for non-imported pre-CPY\*. We confirmed the stability of this band against deglycosylation by analysing the CPY<sup>\*</sup> species in a strain devoid of Sss1p, an essential subunit of the translocon<sup>23</sup>. This strain was kept alive with the SSS1 gene under the control of the GAL promoter. After repressing the promoter by adding glucose, the protein import into the ER was completely blocked. In these cells only pre-CPY\* accumulated, which, as expected, was completely resistant to endoglycosidase F treatment (Fig. 3a).

We then determined the intracellular localization of the CPY<sup>\*</sup> species in *sec61-2*, *sec62* and in wild-type cells at 32 °C. After destruction of cells, the lysate was separated into a pellet fraction containing the microsomes and a supernatant fraction. The fractions were run on an SDS–polyacrylamide gel and immunoblotted with specific antibodies against CPY<sup>\*</sup> and Kar2p as a control for the integrity of the ER vesicles. The *sec61-2* and *sec62* mutants accumulate pre-CPY<sup>\*</sup> and CPY<sup>\*</sup> species, which fractionate with the pellet (Fig. 3b). Trypsin treatment of the pellet leads to disappearance of the predicted pre-CPY<sup>\*</sup>, whereas the band expected to represent lumenal CPY<sup>\*</sup> remained stable. Only after treatment with Triton X-100 was the latter species accessible to trypsin digestion. This indicates that pre-CPY<sup>\*</sup> was attached to the cytosolic face of the ER membrane, probably to the Sec62–Sec71–Sec72 complex<sup>12</sup>; CPY<sup>\*</sup> was imported into the ER lumen in both mutant strains.

Investigation of the import of two additional proteins, Kar2p wild-type protein and proteinase yscA, showed that the decreased degradation rate of CPY\* seen in the strains carrying the mutated translocon subunits *sec61-2* and *sec63-1* was not due to some general import defect that might affect components of the ER degradation system. Although, as expected, pre-Kar2p accumulated at the restrictive temperature of 38 °C in the mutant cells (Fig. 3c), no accumulation was seen at the permissive temperature (25 °C), as had been found for CPY\* (Figs 1a, 2a). There was also no accumulation of prepro-proteinase yscA in the mutants at 25 °C (data not shown). In *sec62* mutant cells, only a very weak accumulation of pre-Kar2p could be observed at 25 °C, suggesting that Kar2p was mainly

imported through the co-translational translocation pathway<sup>24</sup>. These findings suggest that the import machinery into the ER lumen in the *sec61-2* and the *sec63-1* mutants was unimpaired at the permissive temperature of 25 °C.

The ubiquitin-conjugating enzymes Ubc6 and Ubc7 act at the ER membrane. Together with the proteasome they are essential components of the CPY\* degradation process<sup>5</sup>. We had therefore to exclude the possibility that this degradation system was impaired by the mutated transmembrane proteins sec61-2 or sec63-1. Another protein exclusively degraded through the Ubc6, Ubc7 proteasome system is a fusion between the Deg1 domain of the MAT $\alpha$ 2 transcriptional repressor and  $\beta$ -galactosidase<sup>25</sup>. We therefore tested degradation of this fusion protein in sec61-2, sec63-1 and in wild-type cells at 25 °C. The decrease of  $\beta$ -galactosidase activity was equal in all strains (Fig. 3d). Also, when analysed in a pulse-chase experiment, the fusion protein was degraded in both mutants as in wild-type cells (data not shown). These experiments demonstrate that the proteolytic machinery was intact in the sec61-2 and sec63-1 strains. This result provides further support for the idea that the stabilization of lumenal CPY\* in the sec61-2 and the sec63-1 background is due to a delayed export of the protein into the cytosol.

The sec61-2 allele codes for a mutated Sec61 protein, which itself undergoes ER-associated degradation at the restrictive temperature of 38 °C (ref. 4). We examined the Sec61p steady-state level at this temperature and at 25 °C, at which degradation of CPY\* is already dramatically retarded (Fig. 1a). Even at 25 °C, the steady-state level of Sec61p was considerably reduced as compared with the wild type, but no reduction of Sec61p was seen in sec62 or sec63-1 mutant cells (Fig. 4a). Therefore, even at the permissive temperature of 25 °C, proteasome-dependent degradation of the mutated Sec61 protein seems to occur. We cannot completely exclude the possibility that the sec61-2 mutation directly affects the binding of a protein required for the export process, but the finding of a lowered steady-state level of Sec61p in the sec61-2 mutant cells might provide a more likely explanation for our results: that the retrograde transport of CPY\* is affected at 25 °C in the mutant background, whereas import is not. In wild-type cells, about 40-60% of the total Sec61p population was found to be either associated with ribosomes or assembled with the Sec62p/Sec63p subcomplex. About 30-50% of Sec61p remains assembled in the trimeric complex, but is probably not involved in protein translocation<sup>15</sup>. This latter portion of Sec61p may be recruited easily for the export of ER degradation substrates without affecting import in wild-type cells. However, in the sec61-2 mutant at 25 °C, the free pool of Sec61p may be reduced, but the absolute amount of Sec61p transloci involved in co- or posttranslational transport is not affected, as protein translocation is essential for cell viability. In contrast, ER-associated degradation is not essential for viability<sup>4,5,26</sup>. Because priority is given to protein import, the cell must have tools to tightly regulate import as well as export. Taking this model into account, we cannot completely exclude the possibility that the export defects of CPY\* seen with the sec63-1 and kar2-113 mutants also have their basis in a reduced number of transloci available for retrograde transport. However, given that sec63-1 and kar2-113 mutant cells do not accumulate CPY\* precursor molecules even at 30°C (data not shown), we assume that there is a large amount of free transloci in these mutants at 25 °C, the temperature at which the experiments were done. We therefore suppose that Kar2p and Sec63p are directly involved in the retrograde transport of CPY\*.

Like the degradation of CPY\* (ref. 5), proteolysis of unassembled Sec61p occurs through the Ubc6–Ubc7 proteasome degradation pathway<sup>4</sup>. We investigated whether export and degradation of Sec61-2p also depends on additional components known to be required for CPY\* degradation. The *DER3* gene product, which is identical to that of *HRD1* (ref. 27), has been shown to reside in the ER membrane, and is essential for degradation of CPY\* (J.B., R.K.P., A. Finger and D.H.W., unpublished data). We introduced a null allele of *DER3* into the *sec61-2* mutant strain. Although at the restrictive temperature of 38 °C the steady-state level of Sec61-2p is dramatically lowered in *DER3* cells, Sec61-2p reaches wild-type levels in the *der3* deletion strain (Fig. 4b). Together with the finding that, in the absence of Der3p, *sec61-2* cells are able to grow at 38 °C (J.B., R.K.P., A. Finger and D.H.W., unpublished data), these results indicate that Der3p is also required for the degradation of mutated Sec61p. This finding demonstrates that an intact ER-degradation machinery is present in *sec61-2* mutant cells, even at 38 °C. Indeed, Der3p is present in the *sec61-2* background in wild-type amounts (data not shown). It seems likely that under restrictive conditions, and to a lesser extent under the permissive conditions of 25 °C, unassembled Sec61p chains enter, probably through lateral gating, still intact transloci to become accessible to the proteasome.

It has also been proposed that retrograde transport requires Sec61p in higher eukaryotic cells<sup>11</sup>. In cells expressing the human cytomegalovirus protein US2, or treated with dithiothreitol, the MHC class I heavy chain is rapidly degraded. When proteasome inhibitors were applied, a non-gly

**Pulse-chase experiments and immunoprecipitations.** For pulse-chase experiments, 2.5  $A_{600}$  cells were taken from a logarithmically growing culture for each time point and were labelled with 62.5  $\mu$ Ci [<sup>35</sup>S]-methionine. Growth, labelling, chase conditions and other experimental procedures, such as cell lysis, immunoprecipitation and SDS–PAGE, were performed as described<sup>13</sup>.

**Deglycosylation experiments and western analysis.** Cells were grown at the indicated temperature in complete synthetic medium containing 2% glucose to an  $A_{600}$  of 3.0. Immunoprecipitation and deglycosylation of CPY<sup>\*</sup> was performed as described<sup>5</sup>. Immunoprecipitated material was boiled in 50 µl UREA buffer before SDS–PAGE using a 8% gel and blotting. For western analysis, detection of the indicated proteins was performed using the respective antibodies.

**Protease protection experiments.** Spheroplasting and cell breakage were done as described<sup>5</sup>. For protease treatment of the pellet, trypsin was added to a final concentration of 0.5 mg ml<sup>-1</sup> after resuspension of the pellet. The samples were incubated for 30 min on ice. If added, Triton X-100 was present at 1%. All treatments were stopped by TCA precipitation. After resuspending the pellet in 100 µl UREA buffer, CPY\* was analysed by SDS–PAGE and immunoblotting. **β-Galactosidase activity test.** After adding cycloheximide to a final concentration of 0.5 mg ml<sup>-1</sup> at zero time (*t* = 0) to the logarithmically growing culture, 0.3 *A*<sub>600</sub> of cells were taken for each time point, mixed with lysis buffer (0.6% Triton X-100, 0.75% ONPG, 2.25% β-ME, 0.15 M Tris-BCl, pH 7.5) and kept at –80 °C for 30 min. After incubation for 60–90 min at 37 °C, 75 µl of 1 M NaHCO<sub>3</sub> was added to the samples, debris was removed by centrifugation (20,000g, 3 min) and *A*<sub>405</sub> was determined.

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